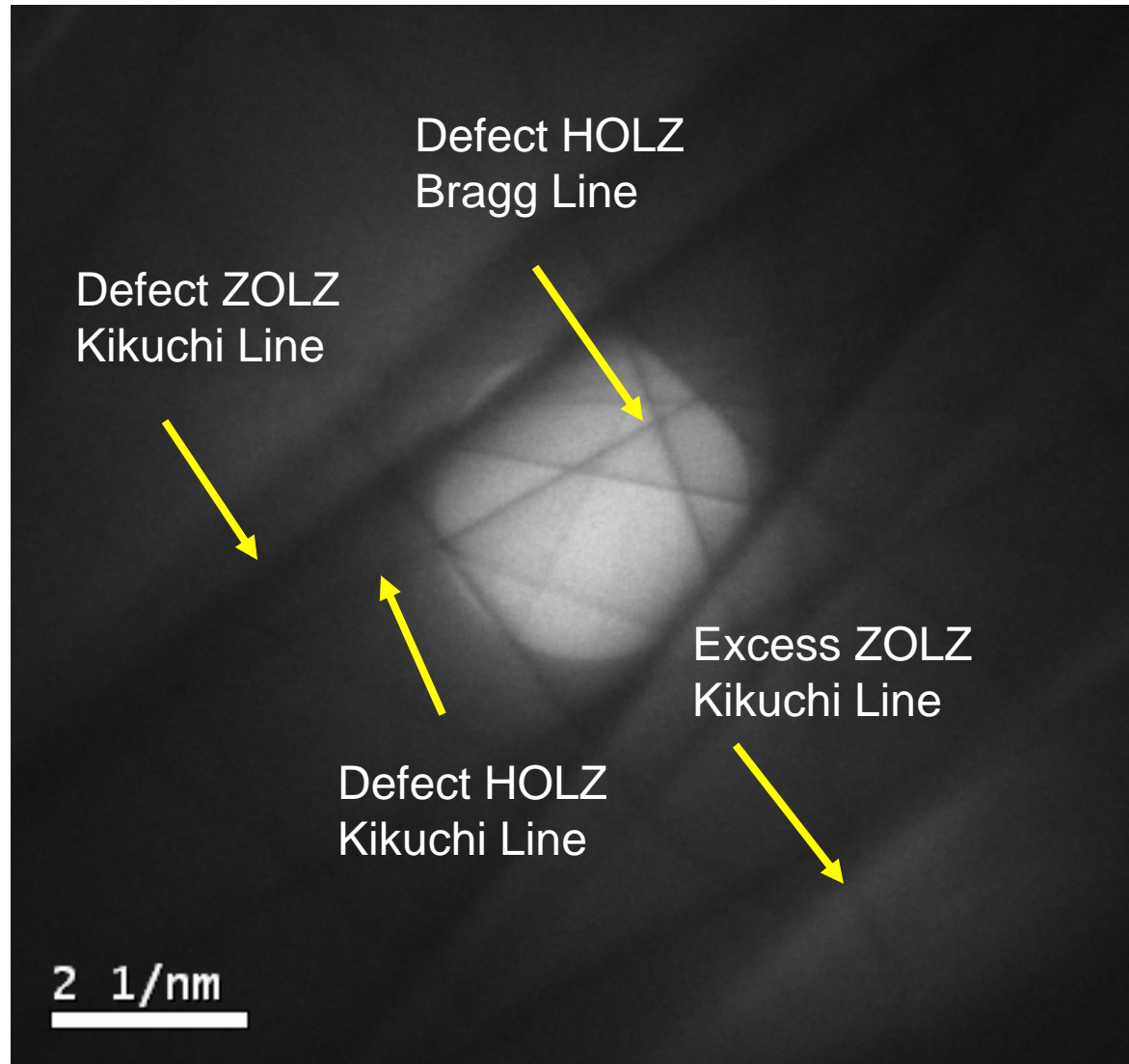
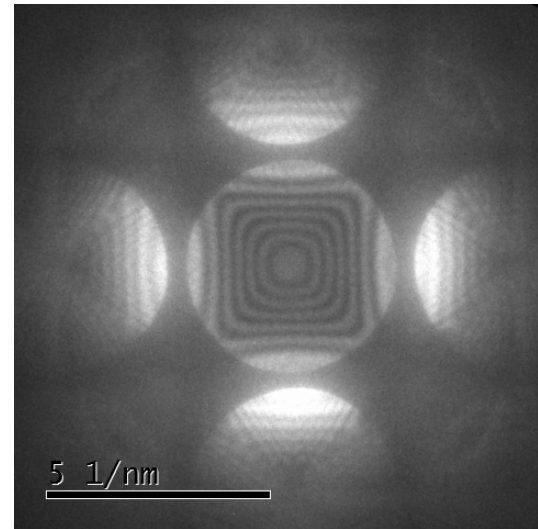
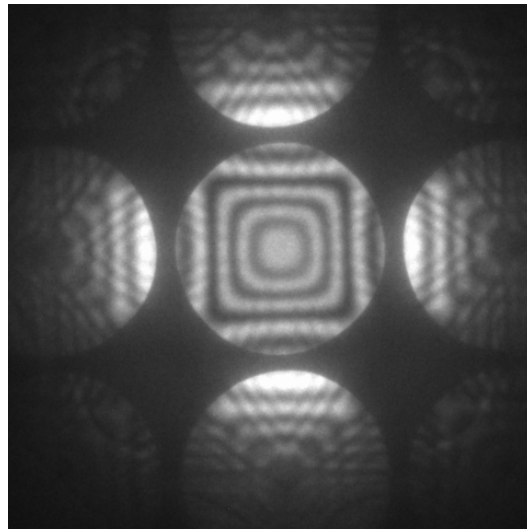
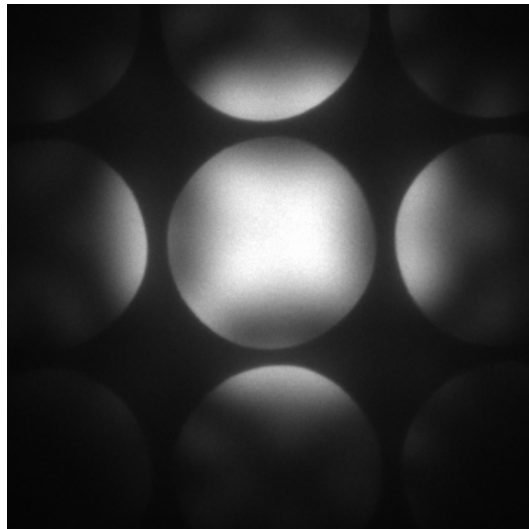
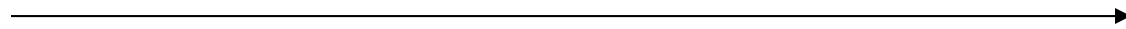


# CBED Features



# Influence of Thickness

thicker



K-M fringe frequency increases with thickness

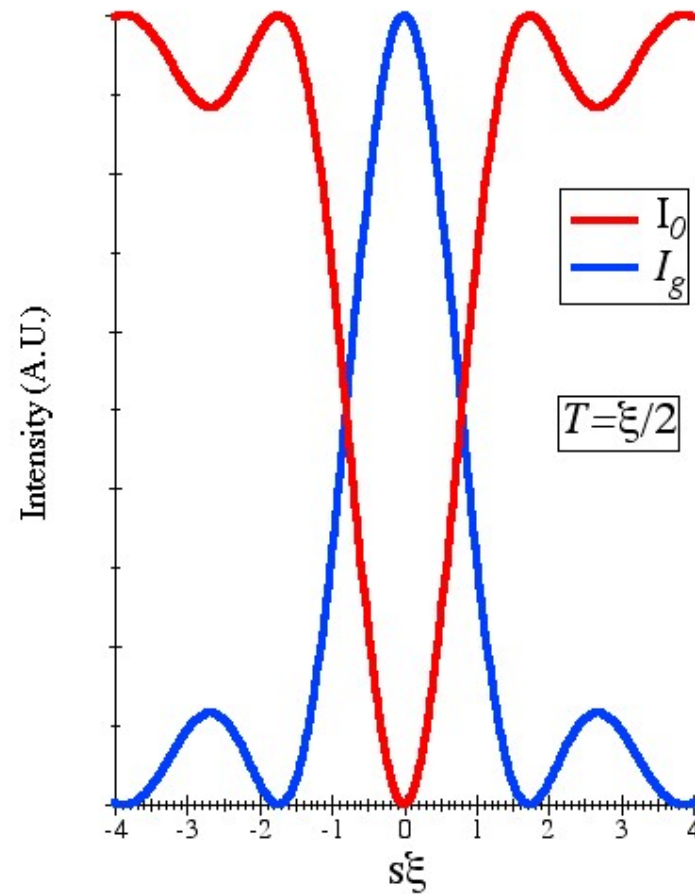
## Intensity oscillation in CBED disk (I)

At a two-beam condition:

$$I_g = \left[ \frac{\sin(\pi s_{eff} T)}{s_{eff} \xi} \right]^2$$

$$s_{eff} \equiv \sqrt{s^2 + \left( \frac{1}{\xi^2} \right)}$$

$$I_0 = 1 - \left[ \frac{\sin(\pi s_{eff} T)}{s_{eff} \xi} \right]^2$$



Frequency of intensity oscillation depends on  $T$ ,  $\xi$

# Intensity oscillation in CBED disk (II)

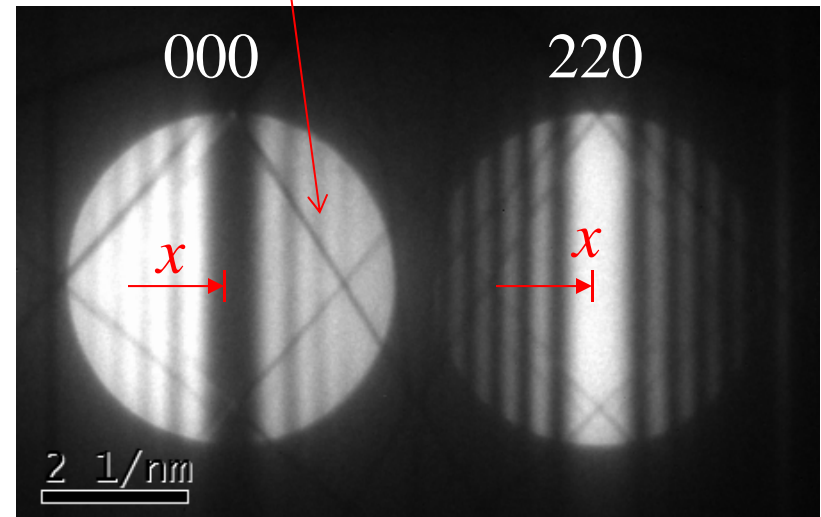
bright-field disk shows  
“anomolous absorption”

Oscillations arise from variation  
in  $s_g$  with beam tilt

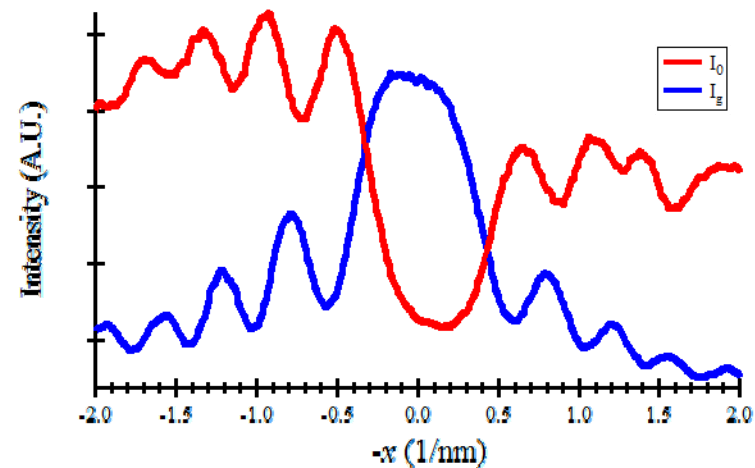
Experiment shows absorption effects

$$s = \frac{g}{k} \cdot x$$

$x$  measures distance to Bragg condition



← increasing  $s$



# Absorption

Incoherent and inelastic scattering result in attenuation

What if  $\Phi(\mathbf{r})$  is complex?

Simple Case:  $\Phi(\mathbf{r}) = \Phi_0 + i\Phi'_0$   $U(\mathbf{r}) = U_0 + iU'_0$

$$\left[ \nabla^2 + 4\pi^2 (k^2 + U_0 + iU'_0) \right] \psi(\mathbf{r}) = 0$$

Solution is an attenuated plane wave:

$$\psi(\mathbf{r}) = e^{2\pi i(\mathbf{K} + i\mathbf{K}') \cdot \mathbf{r}} = e^{2\pi i\mathbf{K} \cdot \mathbf{r}} e^{-2\pi\mathbf{K}' \cdot \mathbf{r}} \quad \nabla^2 \psi(\mathbf{r}) = (K^2 - K'^2 + 2iKK') \psi(\mathbf{r})$$

$$\left. \begin{array}{l} K^2 - K'^2 = k^2 + U_0 \\ 2KK' = U'_0 \end{array} \right\} \text{Solve for } K \text{ and } K'$$

# Absorption in a crystal

$$\Phi(\mathbf{r}) \rightarrow \Phi(\mathbf{r}) + i\Phi'(\mathbf{r})$$

$$U(\mathbf{r}) \rightarrow U(\mathbf{r}) + iU'(\mathbf{r})$$

The operator  $\tilde{A}$  becomes non-Hermitian:

The matrix elements are:

$$\tilde{A} \rightarrow \tilde{A} + i\tilde{A}'$$

$$A_{g,g} = s_g$$

The eigenvalues become complex:

$$A_{\substack{g_1, g_2 \\ g_1 \neq g_2}} = \frac{1}{2\xi_{\mathbf{g}_2 - \mathbf{g}_1}}$$

$$(\tilde{A} + i\tilde{A}')|\psi^{(j)}\rangle = (\gamma^{(j)} + i\gamma'^{(j)})|\psi^{(j)}\rangle$$

$$A'_{g_1, g_2} = \frac{1}{2\xi'_{\mathbf{g}_2 - \mathbf{g}_1}}$$

This leads to attenuation of the Bloch waves:

$$\psi^{(j)}(\mathbf{r}) \rightarrow \left\{ \sum_{\mathbf{g}} C_{\mathbf{g}}^{(j)} e^{2\pi i \mathbf{g} \cdot \mathbf{r}} \right\} e^{2\pi i \mathbf{k} \cdot \mathbf{r}} e^{2\pi i \gamma^{(j)} z} e^{-2\pi \gamma'^{(j)} z}$$

Non-Hermitian: 
$$A_{g_2, g_1} + iA'_{g_1, g_2} \neq \left( A_{g_2, g_1} + iA'_{g_2, g_1} \right)^*$$

How to diagonalize a non-Hermitian matrix?

## Treat Absorption as a perturbation

Typically  $\Phi'_g \approx (0.10)\Phi_g$

$$\tilde{A}' = \begin{pmatrix} \frac{1}{2\xi'_0} & \frac{1}{2\xi'_{g_1}} & \cdot & \frac{1}{2\xi'_{g_{n-1}}} \\ \frac{1}{2\xi'_{g_1}^*} & \frac{1}{2\xi'_0} & \cdot & \frac{1}{2\xi'_{g_{n-1}-g_1}} \\ \cdot & \cdot & \cdot & \cdot \\ \frac{1}{2\xi'_{g_{n-1}}^*} & \frac{1}{2\xi'_{g_1-g_{n-1}}^*} & \cdot & \frac{1}{2\xi'_0} \end{pmatrix}$$

We can compute the attenuation coeffs. as a first-order perturbation:

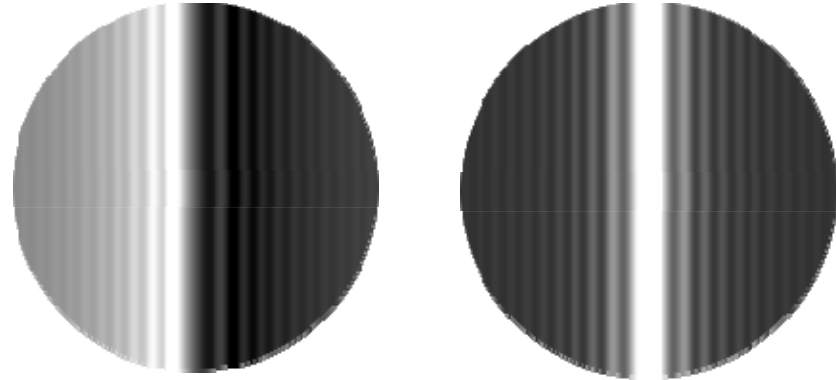
$$\gamma'^{(j)} \approx \langle \Psi^{(j)} | \tilde{A}' | \Psi^{(j)} \rangle = \sum_{g,g'} [C_g^{(j)}]^* \cdot \left( \frac{1}{2\xi'_{g-g'}} \right) \cdot C_{g'}^{(j)}$$

# Two-beam simulation

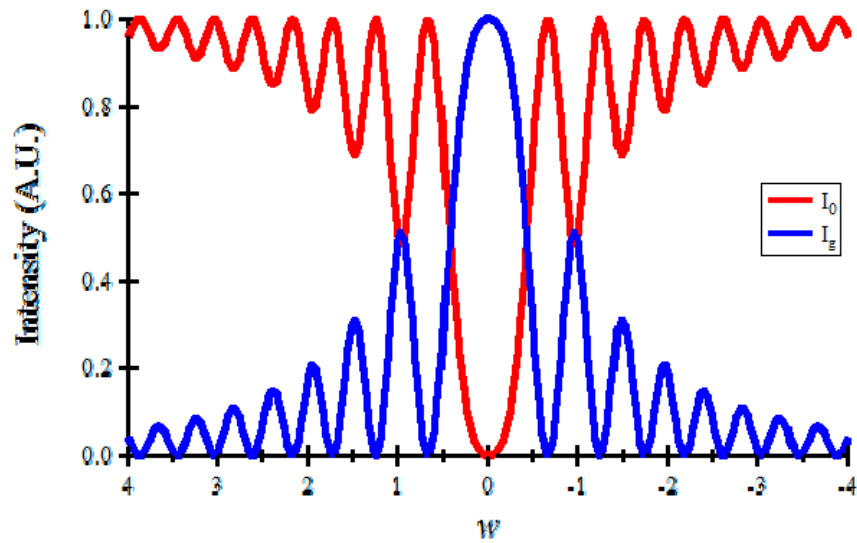
$\xi_0 = 50$  nm  
 $\xi_g = 100$  nm  
 $T = 250$  nm  
 $\alpha = 0.10$

*BF*

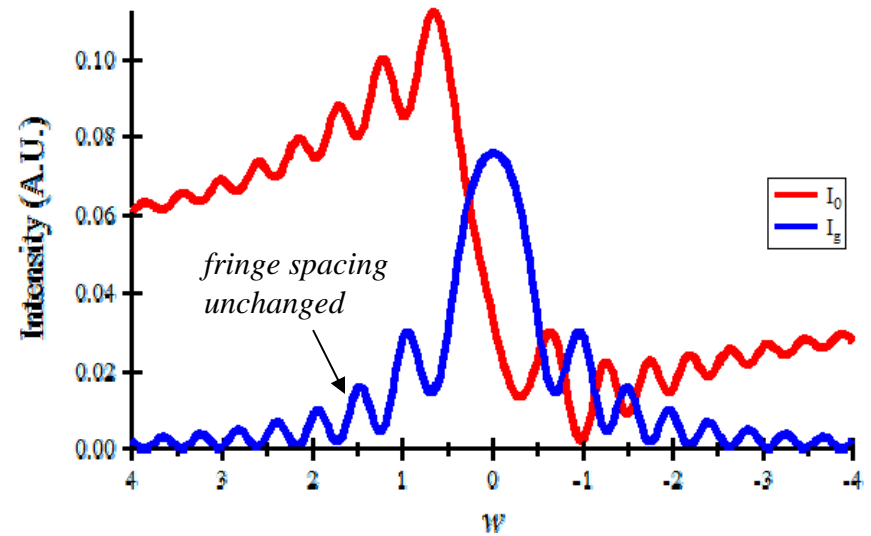
*DF*



*No absorption*



*With absorption*





# HOLZ ring radius

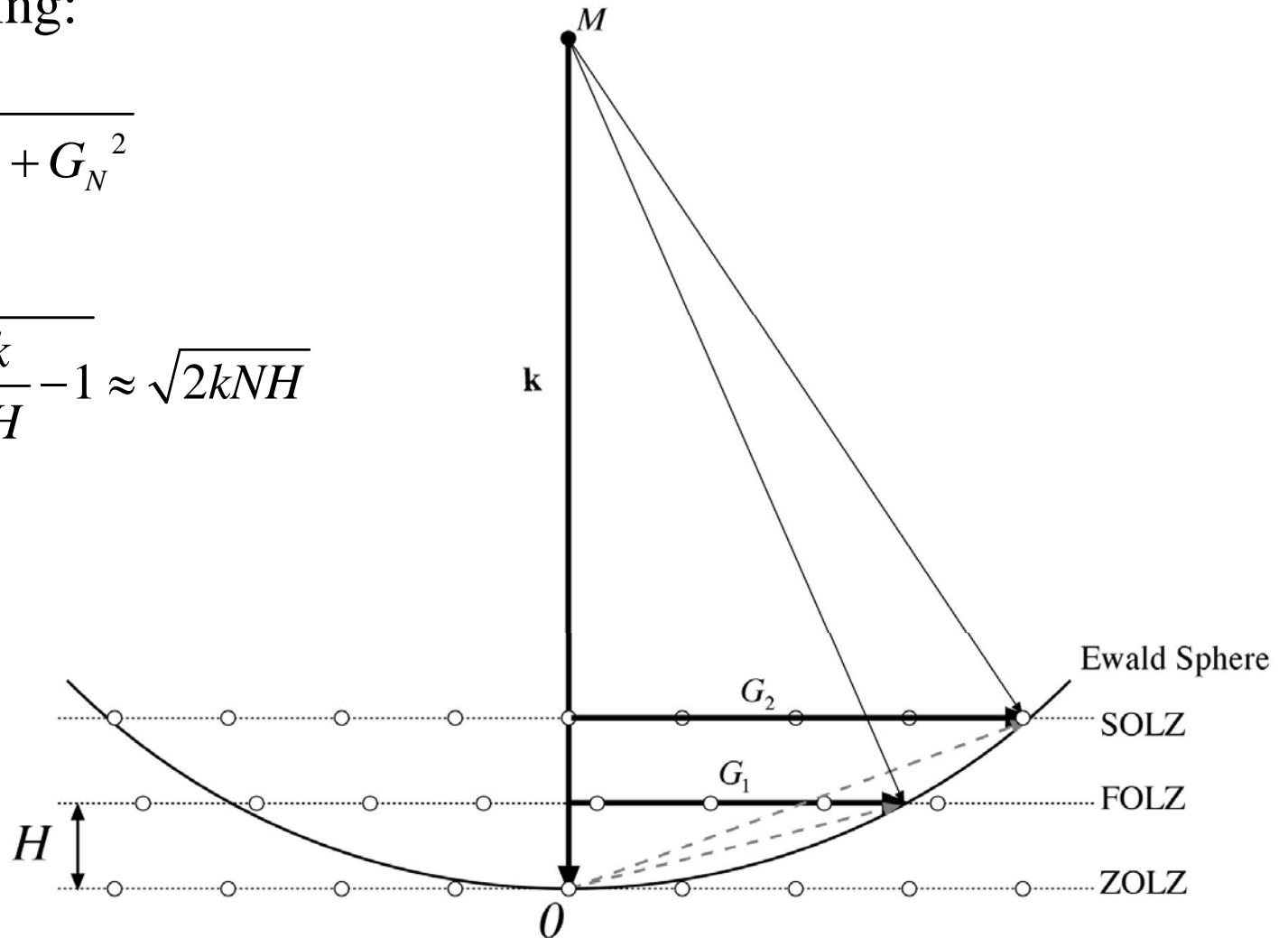
$N^{\text{th}}$  HOLZ ring:

$$k = \sqrt{(k - NH)^2 + G_N^2}$$

$$G_N = NH \sqrt{\frac{2k}{NH} - 1} \approx \sqrt{2kNH}$$

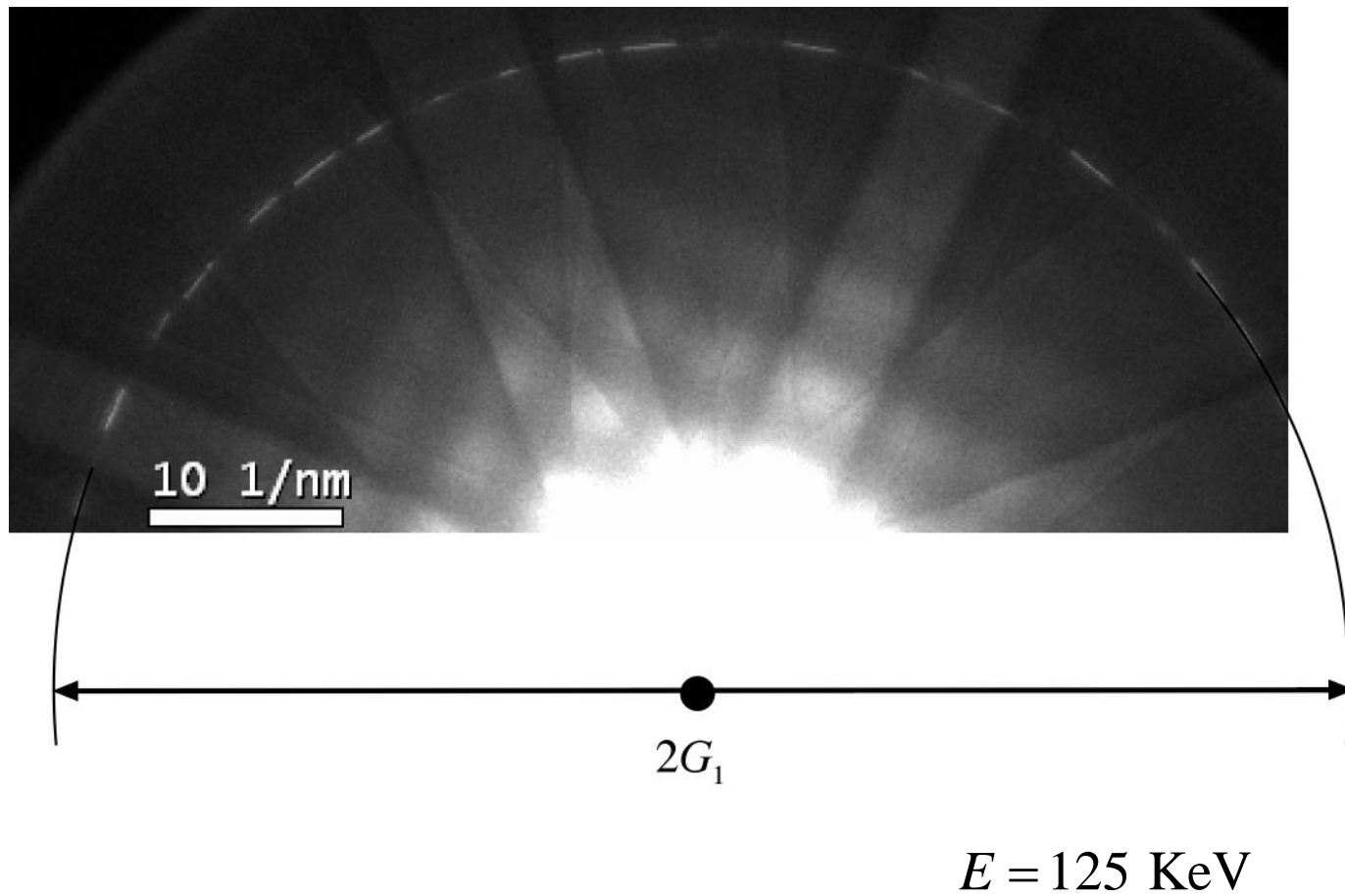
$$G_1 \approx \sqrt{\frac{2H}{\lambda}}$$

$$G_2 \approx 2\sqrt{\frac{H}{\lambda}}$$



Find  $H$  from HOLZ ring diameter

Example: Si [001] CBED pattern



Find  $H$ :

$$NH = \frac{1}{\lambda} - \sqrt{\frac{1}{\lambda^2} - G_N^2} \left[ \approx \frac{\lambda (G_N)^2}{2} \right]$$

$$2G_1 = 67.9 \text{ nm}^{-1} \rightarrow G_1 = 34.0 \text{ nm}^{-1} \rightarrow NH = 1.89 \text{ nm}^{-1}$$

We found that  $H = \frac{1}{|\mathbf{r}_{uvw}|}$

fcc [001]:

FOLZ has  $N=1$

$$|\mathbf{r}_{uvw}| = 1/a$$

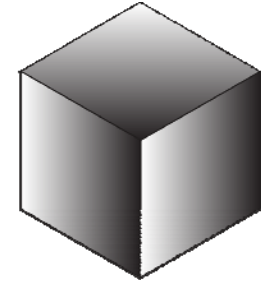
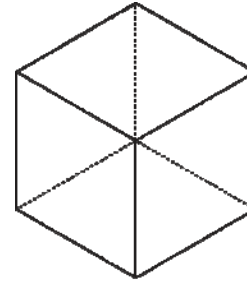
$$\rightarrow H = 1/a \quad \rightarrow a = 0.53 \text{ nm}$$

Actual value (Si):  $a = 0.54 \text{ nm}$

## Symmetry in CBED patterns

From either a K-M or K pattern:

WP: Whole-pattern symmetry

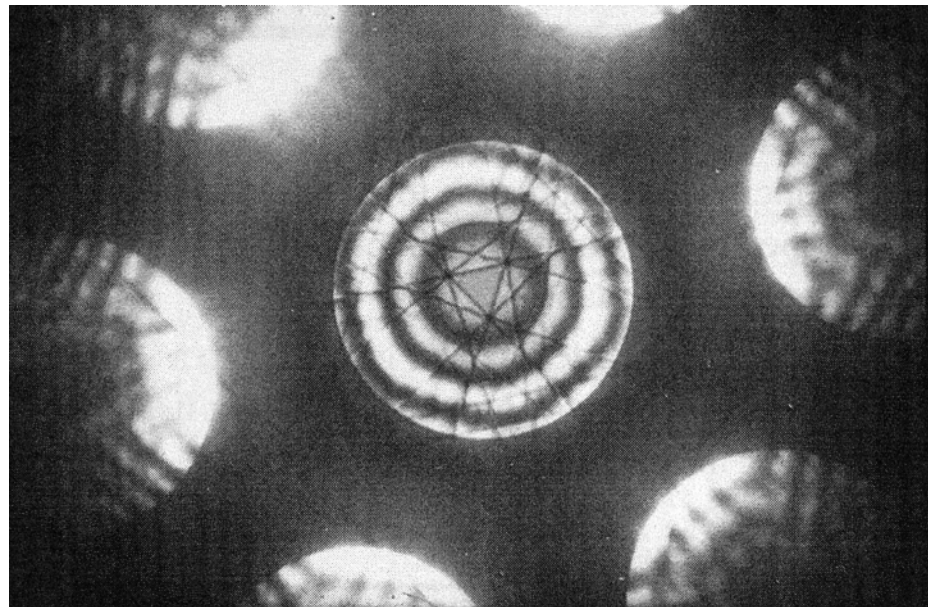


From a K-M pattern:

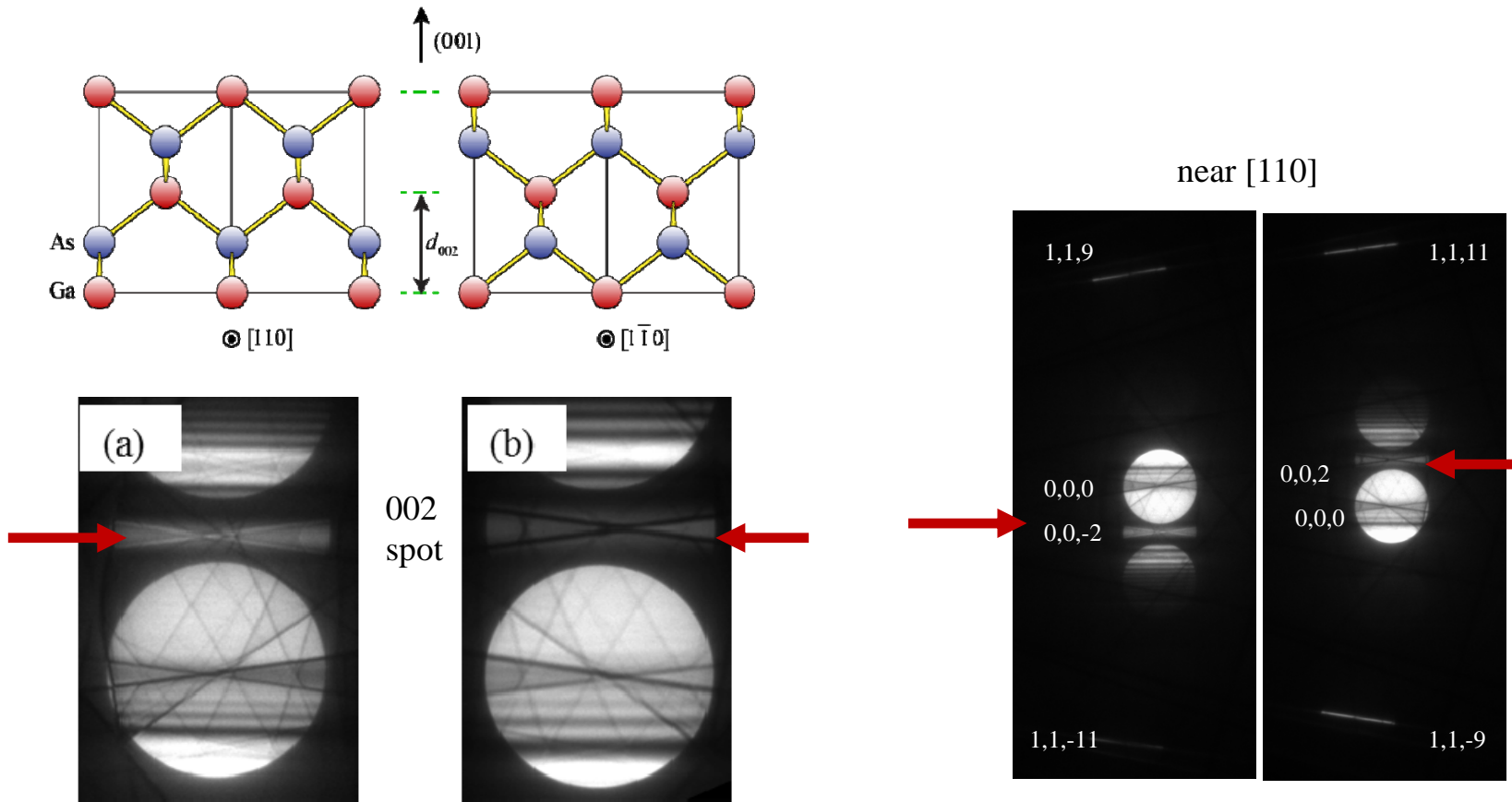
BF: (000) disk symmetry, including HOLZ lines

DF: (*hkl*) disk symmetry

Si [111]



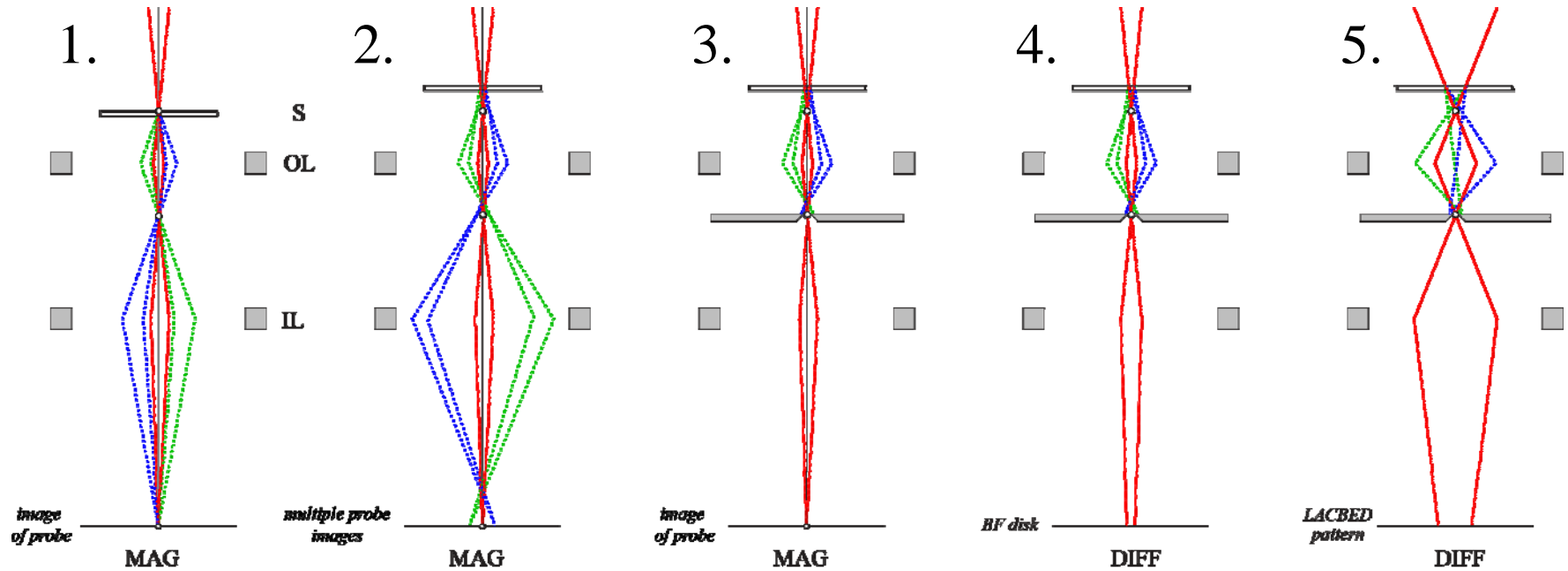
# Polarity determination



Dynamical electron diffraction allows determination of film polarity

J. Taftø, and J.C.H. Spence, J. C. H., 1982, J. Appl. Crystallogr., 15, p. 60.

# Large-angle CBED



- 1) focus probe on sample
- 2) raise sample height
- 3) inset diffraction aperture around 0 spot
- 4) switch to diffraction mode
- 5) increase convergence angle

